

# PhillipCapital

Your Partner In Finance

Please complete and submit the form to: Depository Receipts Team < [DR@phillip.com.sg](mailto:DR@phillip.com.sg) >

## 1 TYPE OF TRANSFER (Select ONLY ONE option)

- Issuance of SDR – Convert **Thailand** Non-Voting Depository Receipt (NVDR) or **Hong Kong** or **Indonesia** shares to SDR
- Cancellation of SDR – Convert SDR to **Thailand** NVDR or **Hong Kong** or **Indonesia** shares

Only **No Change in Beneficial Owner (NCBO)** for Thailand and Hong Kong is supported by PHILLIP SECURITIES PTE LTD (the "Depository")

## 2 DETAILS OF SECURITIES mandatory

Name of SDR (In Full)	SDR ISIN/Ticker	Underlying Quantity*	SDR Quantity*	Fee Amount (S\$)**

- Please refer to SDR directory (<https://www.singaporedr.com/sdr-directory/>) for more information on the conversion ratio. \*
- SDR Issuance and Cancellation fee will be waived till 30 June 2026 for Thailand and Indonesia only**
- For Hong Kong SDR Issuance and Cancellation fees: A flat fee of S\$50.00 (Prevailing GST applies) per counter per transfer will apply for request received from **01 January 2026** onwards. \*\*
- For Hong Kong SDR Issuance: Additional fees of S\$0.30 (Prevailing GST applies) per Board Lot of Hong Kong underlying Securities for every Issuance request per counter per transfer. \*\*
- For Indonesia SDR Issuance: Additional fees up to 0.105% of transaction value with minimum of Rp 100 thousand (Inclusive of taxes, exchange transaction fees, stamp duty) for depositing the underlying shares.

For Indonesia SDR Cancellation: Additional fees up to 0.1% of transaction value with minimum of Rp 100 thousand (Inclusive of taxes, exchange transaction fees, stamp duty) and sales tax of 0.1% for withdrawing the underlying shares.

Additional fees are payable to **PT Phillip Sekuritas Indonesia** for the receipt or delivery of underlying Indonesia stocks upon transacted between your appointed Indonesia counterparty and PT Phillip Sekuritas Indonesia. Please refer to <https://www.singaporedr.com/fees/> for more fees related information.

## 3 COUNTERPARTY & SETTLEMENT DETAILS mandatory

Thailand / Hong Kong / Indonesia Custodian Details	Singapore Custodian Details
Name of Participant:	Name of CDP Securities Account Holder/Depository Agent:
Participant BIC:	CDP Securities Account Number/Depository Agent Sub-Account Number:
Participant Account Number:	Name of Beneficial Owner(s):
Name of Client with Participant ( <i>as per Participant Records</i> ):	<b>Applicable for Phillip Securities Clients only</b> - Phillip Securities Trading Account Number (7 digits):
Client Account Number with Participant:	
Name of Beneficial Owner(s):	

**Phillip Securities Pte Ltd**, 250 North Bridge Road #06-00 Raffles City Tower, Singapore 179101  
 Tel (65) 6531 1555 [talktophillip@phillip.com.sg](mailto:talktophillip@phillip.com.sg) [www.phillip.com.sg](http://www.phillip.com.sg)  
 Co. Reg No. 197501035Z GST Reg. No. M2- 0021956-2

Trade Date:	Intended Settlement Date:
i) Trade Date refers to the date when the transfer is initiated ii) Intended Settlement Date refers to the date when the transfer is to be effected in the Foreign Depository iii) Intended Settlement Date may not be the actual settlement date	
<b>Note:</b> Please instruct your custodian with the same settlement dates details.	

*All transfer fees, stamp, duty, scrip fees, costs, charge, fees and expenses, if any incurred in connection with the said securities (including the registration thereof) will be paid by me/us.*

*In consideration of your so doing, I/we do hereby, for myself/ourselves and on behalf of any customer for whom we are acting, and our respective successors and assigns, certify to you and the Depositor, and your/its successors and assigns, that the securities described above are genuine, in good delivery, and that there are no stops or restraints against the registration of the securities into the your and/or Depository, (or respective nominee's) name and you and the Depositor, will have good title to them. We and any customer for whom we are acting also certify to you and the Depository, your/its successors and assigns, that the deposit of securities delivered herewith is being made pursuant to, and is subject to the provisions of, the Deposit Receipt Custody Agreement governing the relevant SDRs.*

*If for any reason any party or parties should make claim on the securities delivered herewith or said securities are declared to be invalid, if for any reason the you and/or Depository, or your/its nominee is not recognized or at any time fails to continue to be recognized as the holder of said securities or is found not to have good title thereto, we will provide valid replacement securities therefore and will indemnify you and the Depository, for all losses, liabilities, expenses, claims and/or damages you or it might incur or suffer by reason thereof.*

*If the securities are issued on a cum dividend basis or are otherwise entitled to dividend, and it is not practicable to register the securities to the name of your nominee company in time to receive dividend, we undertake to reimburse your nominee company the amount of dividend that your nominee company would have received, had the securities been registered in the name of your nominee company. In addition, we agree to deliver upon like requests any rights, bonus shares or other accruals which may be payable in respect of the said securities and furnish such instruments which in your opinion may be necessary to transfer to your nominee company any other accruals in the event that the said securities have not been transferred into the name of your nominee company upon the record date for the payment or distribution of such accruals as are mentioned above.*

*The undersigned certifies as to the correctness of the statements appearing hereof and the appended hereto.*

---

*Authorized Signatories and Stamp of Depositing party*

### 4 IMPORTANT INFORMATION

#### i) Issuance and Cancellation Procedures & Timeline

<u>Issuance of SDR</u>	<u>Cancellation of SDR</u>
<p>You will need to:</p> <ol style="list-style-type: none"> <li>Complete and email the form to <a href="mailto:DR@phillip.com.sg">DR@phillip.com.sg</a> and make payment for the issuance fee;</li> <li>Instruct your broker/custodian to deliver underlying securities representing to the Depository's</li> </ol> <p>Applications received before 4.00 PM (Singapore time) on a business day will be processed on the same day subject to the Depository receiving:</p> <ul style="list-style-type: none"> <li>A valid and completed form;</li> <li>Delivery of underlying securities representing; and</li> <li>Payment of issuance fee</li> </ul> <p>Under normal circumstances, it is expected that the SDRs will be issued to your designated CDP account within 3 business days after the completion of the above.</p>	<p>You will need to:</p> <ol style="list-style-type: none"> <li>Complete and email the form to <a href="mailto:DR@phillip.com.sg">DR@phillip.com.sg</a> and make payment for the cancellation fee;</li> <li>Deliver SDRs to the Depository's CDP account</li> <li>Instruct your broker/custodian to receive underlying securities representing from the Depository</li> </ol> <p>Applications received before 4.00 PM (Singapore time) on a business day will be processed same day subject to the Depository receiving:</p> <ul style="list-style-type: none"> <li>A valid and completed form;</li> <li>Delivery of SDRs; and</li> <li>Payment of cancellation fee</li> </ul> <p>Under normal circumstances, it is expected that the underlying securities representing will be delivered by the depository within 3 business days after the completion of above.</p> <p>The Depository will deliver underlying securities representing via Free of Payment (FOP) instructions based on the counterparty and settlement details provided. Note that instructions will need to match and settle between your receiving custodian and the Depository's custodian for the transfer to be completed.</p>

#### ii) Please instruct your broker/custodian to deliver securities to/receive securities from:

<b>Thailand</b>	<b>Singapore</b>
Phillip Securities (Thailand) Public Company Ltd Participant ID: 034 BIC: PSTPTB1 Account Number: 034-000000002-3 Account Name: PHILLIP SECURITIES PTE LTD A/C TRUST (SDR) Brokerage Account Number: L0226-1	Phillip Securities Pte Ltd DA Code: 220 BIC: PHSESGS1XXX CDP Sub-Account Number: 2201-7289-0975
<b>Hong Kong</b>	
Phillip Securities (Hong Kong) Limited Participant CCASS: B01345 Account Name: PHILLIP SECURITIES PTE LTD A/C TRUST (SDR) Account Number: P34444	
<b>Indonesia</b>	
PT Phillip Sekuritas Indonesia Participant Account Number: KK001-L88N-001-79 BIC Code: PHSPIDJ1 Account Name: PHILLIP SECURITIES PTE LTD A/C TRUST (SDR) Account Number: PSSSDR SID: SCF180656510245	
Transfer of Indonesia underlying shares in relation to SDR issuance and cancellation will be executed as negotiated trades via the Negotiated Market, Indonesia's applicable fees are payable to PT Phillip Sekuritas Indonesia by your Indonesia's broker.	

### iii) Payment Instructions

For Depository Agents (Please indicate "2158632" under Reference Number)

You can choose to make the payment via bank transfer and attach the screenshot of payment record together with your application form.

a. **PayNow to Phillip Securities Pte Ltd UEN**

Phillip Securities Pte Ltd – Unique Entity Number (UEN): 197501035Z

b. **FAST (Fast And Secure Transfers) to Phillip Securities Pte Ltd bank account:**

Currency	SGD
Beneficiary Bank	UNITED OVERSEAS BANK LIMITED
Beneficiary Name	Phillip Securities Pte Ltd
Beneficiary Account no	451-311-493-6

c. **Telegraphic Transfer (TT) to Phillip Securities Pte Ltd bank account:**

Currency	SGD
Beneficiary Bank	Citibank N.A
Beneficiary Bank Address	8 Marina View, Asia Square Tower 1, Singapore 018960
Beneficiary Name	Phillip Securities Pte Ltd – Trust account
Beneficiary Account no	<b>0-700104-109</b>
Beneficiary Bank Swift Code	CITISGSG
Payment Details	Your PSPL trading account no. and account name (mandatory).

**For Phillip Securities account holders:**

The issuance/cancellation fees will be deducted from your trading account, please ensure sufficient balance in your account ledger.

- iv) Your request for Issuance and Cancellation request is subject to the Terms and Conditions with the Depository and the Terms and conditions for Phillip Securities Pte Ltd to act as The Depository, available on [www.singaporedr.com](http://www.singaporedr.com). The Depository reserves the right to reject any request where the particulars given on this form are incomplete/inaccurate and should not withhold any information which may be material in the context of this application. For Issuance of SDR, please ensure that the securities are credited into the designated CDP account before you begin to trade the securities on the SGX-ST. Any missing information or non-completed instructions may delay the process and any alterations made to this form must be initiated by the account holder/depositing party.
- v) For application submitted by DA, authorized signatory list is required for first submission.